

PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/825,746
Filing Date	4/3/2001
First Named Inventor	Goh et al.
Art Unit	1713
Examiner Name	Lee, Rip A.
Attorney Docket Number	2000-040R1

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

22905

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

22905

OR

☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Paul A. Stone

Signature

Date

7/30/04

Telephone

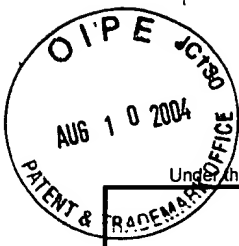
(408) 773-4027

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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PTO/SB/96 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Goh et al.

Application No./Patent No.: 09/825,746 Filed/Issue Date: April 3, 2001

Entitled: Ether-Amine Based Polymerization Catalysts, Compositions and Processes Using Same

Symyx Technologies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012247, Frame 0429, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7/30/04

Date

(408) 773-4027

Telephone number

Paul A. Stone

Typed or printed name

Paul A. Stone

Signature

V.P. & Chief Patent Counsel

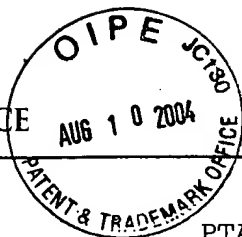
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES
PATENT AND
TRADEMARK OFFICE



FEBRUARY 07, 2002

PTAS

PENNIE & EDMONDS LLP
SUNIL K. SINGH
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

CORRECTED
NOTICE



101953403A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

10460-011

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/04/2001

REEL/FRAME: 012247/0429

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GOH, CHRISTOPHER ✓

DOC DATE: 07/31/2001

ASSIGNOR:

DIAMOND, GARY M. ✓

DOC DATE: 08/15/2001

ASSIGNOR:

MURPHY, VINCE ✓

DOC DATE: 07/31/2001

ASSIGNOR:

LECLERC, MARGARETE K. ✓

DOC DATE: 08/15/2001

ASSIGNOR:

HALL, KEITH ✓

DOC DATE: 07/31/2001

ASSIGNOR:

LAPOINTE, ANNE M. ✓

DOC DATE: 07/31/2001

ASSIGNOR:

BOUSSIE, THOMAS R. ✓

DOC DATE: 07/31/2001

RECEIVED RECORDS

FEB 13 2002
S. Singh - 244
& DOCKETING CA OFFICE

REFERRED TO <i>Rivera</i>
REC'D <i>Singh</i>
FEB 12 2002
Pennie & Edmonds
O.K. for filing

012247/0429 PAGE 2

ASSIGNOR:
LUND, CHERYL ✓

DOC DATE: 07/31/2001

ASSIGNOR:
UNO, TETSUO ✓

DOC DATE: 08/17/2001

ASSIGNEE:
SYMYX TECHNOLOGIES, INC.
3100 CENTRAL EXPRESSWAY
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09825746 ✓
PATENT NUMBER:

FILING DATE: 04/03/2001 ✓
ISSUE DATE:

DIANE RUSSELE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



01-24-02

D

Express Mail No.: EL 452 482 180 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Goh *et al.*

Group Art Unit: 2756

Serial No.: 09/825,746

Examiner: To be assigned

Filed: April 3, 2001

Attorney Docket No.: 10460-011-999

For: ETHER-AMINE BASED
POLYMERIZATION CATALYSTS,
COMPOSITIONS AND PROCESSES
USING SAME

Request for Correction to
Notice of Recordation of Assignment Document

Assignment Division
Box ASSIGNMENTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request issuance of a corrected Notice of Recordation of Assignment Document in the above-captioned Application. Applicants submit a copy of the originally filed Recordation Form Cover Sheet and original Assignment and a copy of the Notice of Recordation of Assignment Document with defects indicated in red.

Applicants submitted an original Assignment and Recordation Form Cover Sheet for recordation in the Assignment Branch of the United States Patent and Trademark Office on October 4, 2001. The Assignment designated the correct serial number (*i.e.*, 09/825,746) of the above captioned Application. The Notice of Recordation of Assignment Document, mailed on December 19, 2001 by the United

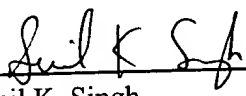
States Patent and Trademark Office, designated an incorrect serial number (*i.e.*, 09/825,745) for the above captioned Application.

Accordingly, Applicants respectfully request issuance of a corrected Notice of Recordation of Assignment Document, which refers to the above-captioned Application by the correct serial number (*i.e.*, 09/825,746).

No fees are believed due in connection with this Request for Correction of Assignment Document. However, the Commissioner is authorized to charge any required fee not included with this Request for Correction of Assignment Document or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 10460-011-999). A duplicate copy of this sheet is enclosed for such purpose.

Respectfully submitted,

Date January 18, 2002


Sunil K. Singh 45,298
(Reg. No.)
for Samuel B. Abrams (Reg. No. 30,605)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas,
New York, New York
(212) 790-9090

Enclosure

ASSIGNMENT

WHEREAS, WE, Christopher Goh, Gary M. Diamond, Vince Murphy, Margarete K. Leclerc, Keith Hall, Anne M. LaPointe, Thomas R. Boussie, Cheryl Lund, Tetsuo Uno, ASSIGNORS, citizens of the United States, residing at 629 Lakeview Avenue, San Francisco, CA 94112; 90 S. 13th Street, Apt. 1, San Jose, CA 95112; 423 E. Latimer St., Campbell, CA 95008; 260 Franklin Street, Mountain View, CA 94041; 2946 Rosemary Lane, San Jose, CA 95128; 2250 Monroe Street, Apt. 283, Santa Clara, CA 95050; 464 Ravenswood, Menlo Park, CA 94025; 1410 Cucuz Lane, Milpitas, CA 95035; 3450 Granada Avenue, #47, Santa Clara, CA 95051, are the inventors of the invention in ETHER-AMINE BASED POLYMERIZATION CATALYSTS, COMPOSITIONS AND PROCESSES USING SAME for which we have executed an application for a Patent of the United States

☐ which is executed on ☒ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 10460-011-999
☒ which was filed on April 3, 2001, Application No. 09/825,746

and WHEREAS, Symyx Technologies, Inc., having a principal place of business at 3100 Central Expressway, Santa Clara, CA 95051, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date <u>7/31/01</u> , 2001	<u>Christopher Goh</u> L.S.
Date <u>8/15/01</u> , 2001	<u>Gary M. Diamond</u> L.S.
Date <u>7/31/01</u> , 2001	<u>Vince Murphy</u> L.S.
Date <u>8/15/01</u> , 2001	<u>Margarete K. Leclerc</u> L.S.
Date <u>31 July 2001</u> , 2001	<u>Keith Hall</u> L.S.
Date <u>7/31/01</u> , 2001	<u>Anne M. LaPointe</u> L.S.
Date <u>7/31/01</u> , 2001	<u>Thomas R. Boussie</u> L.S.
Date <u>7/31/01</u> , 2001	<u>Cheryl Lund</u> L.S.

Date 8/17/2001, 2001

Tetsuo Uno
Tetsuo Uno

L.S.

State of Calif }
County of Santa Clara } SS.:

On 7/31/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Christopher Goh, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia



State of Calif }
County of Santa Clara } SS.:

On 8/15/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Gary M. Diamond, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia



State of Calif }
County of Santa Clara } SS.:

On 7/31/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Vince Murphy, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia

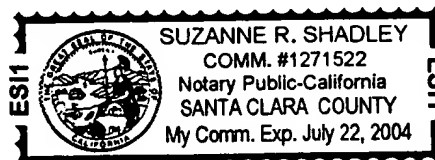


State of California }
County of Santa Clara } SS.:

On August 15, -, 2001, before me, Suzanne R. Shadley, Notary Public, personally appeared Margarete K. Leclerc, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Suzanne R. Shadley



State of California)
County of Santa Clara) SS.:

On 7/31/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Keith Hall, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia



State of Calif)
County of Santa Clara) SS.:

On 7/31/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Anne M. LaPointe, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia



State of Calif.)
County of Santa Clara) SS.:

On 7/31/01, 2001, before me, Renee Lamantia, Notary Public, personally appeared Thomas R. Boussie, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia

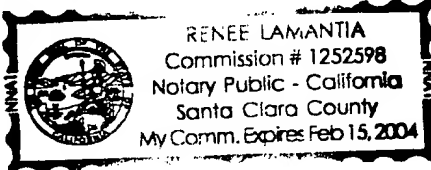


State of Calif)
County of Santa Clara) SS.:

On 7/31, 2001, before me, Renee Lamantia, Notary Public, personally appeared Cheryl Lund, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Renee Lamantia



State of California)
County of San Diego) SS.:

On 8-17-, 2001, before me, Veronica Riesett, Notary Public, personally appeared Tetsuo Uno, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Veronica Riesett

